

# ジルコニア微粉末の焼結挙動に及ぼす添加物の効果： アルミナとシリカ添加の比較

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## Effects of additives on sintering behavior of fine zirconia powder : comparison between alumina and silica additions

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The sintering behavior of 3 mol%  $Y_2O_3$ -doped  $ZrO_2$  powders with and without a small amount of  $Al_2O_3$  or  $SiO_2$  was investigated to clarify the difference in the effects of their additives on the initial sintering stage. The shrinkage behavior of powder compact was measured under constant rates of heating (CRH). The sintering rate remarkably increased by a small amount of  $Al_2O_3$  or  $SiO_2$  addition, and the enhanced sintering effect by  $Al_2O_3$  addition was greater than that by  $SiO_2$  one. The apparent activation energy ( $nQ$ ) and apparent frequency factor ( $A_0$ ), where the  $n$  is the order depending on diffusion mechanism, were estimated at the initial sintering stage by applying the sintering-rate equation to the CRH data. The diffusion mechanism changed from grain-boundary diffusion to volume diffusions by  $Al_2O_3$  or  $SiO_2$  addition. Both  $nQ$  and  $A_0$  increased in the order of  $Al_2O_3 > SiO_2 > no additions$ . It is, therefore, concluded that the sintering rate increases in the order of  $Al_2O_3 > SiO_2 > no additions$  because the increase in  $A_0$  rather than  $nQ$  is predominant.

### 1 . Introduction

Due to its excellent mechanical properties (i.e., high strength and fracture toughness),  $Y_2O_3$ -stabilized tetragonal  $ZrO_2$  polycrystal (Y-TZP) has become an important structural ceramic, finding use in optical fiber connectors, grinding media, and precision parts. The mechanical properties strongly depend on the microstructure in Y-TZP, which can be controlled by applying the sintering-acceleration effect of additive. The appearance of high-performance Y-TZP for new application is desired to spread the zirconia-product market. To develop high-performance Y-TZP powder, it is needed to clarify the effect of various additives on the sintering mechanism of Y-TZP powder.

The initial sintering mechanism of various ceramic powders has been investigated extensively.<sup>1)-14)</sup> For instance, Young and Cutler<sup>1)</sup> have derived sintering-

rate equations that is applicable to shrinkage data measured under constant rates of heating (CRH), and estimated the activation energy for causing diffusion at the initial sintering stage in  $Y_2O_3$ -stabilized  $ZrO_2$ . Wang and Raj<sup>2), 3)</sup> have investigated the densification behavior in  $Al_2O_3$ ,  $ZrO_2/Al_2O_3$  composite, and  $ZrO_2$  containing  $Y_2O_3$  by the CRH method. Thus far, the author has kinetically investigated the initial sintering mechanism in  $Y_2O_3$ -doped  $ZrO_2$  powders with and without 0.25 mass%  $Al_2O_3$ , and clarified the following points.<sup>4)-8)</sup>

- i)  $Al_2O_3$  effect:  $Al_2O_3$  changes the diffusion mechanism from grain-boundary (GBD) to volume diffusions (VD) at the initial sintering stage.<sup>4)-6)</sup> As a result, the densification is enhanced because the apparent frequency-factor term in the rate constant increases.<sup>6)</sup>
- ii) Specific surface-area effect: when the specific surface area in  $Y_2O_3$ -doped  $ZrO_2$  powder increases,

the sintering rate is enhanced because of an increase in frequency-factor term.<sup>7)</sup>

iii)  $Y_2O_3$  concentration effect: the increase in  $Y_2O_3$  concentration decreases the sintering rate because of increasing the activation energy of diffusion.<sup>8)</sup>

Furthermore, the author also proposed an analytical method that can experimentally determine the diffusion mechanism at the initial sintering stage using CRH data, and demonstrated the validity of the analytical method using  $Y_2O_3$ -doped  $ZrO_2$  powders with and without 0.25 mass%  $Al_2O_3$ .<sup>4),5)</sup>

From the industrial standpoint, it is important to clarify the roles of various additives which accelerate sintering in Y-TZP. Usually, not only  $Al_2O_3$  but also  $SiO_2$  is well used as one of the additives. As described in the above-mentioned, the effect of  $Al_2O_3$  on the initial sintering stage in Y-TZP powder has been reported in previous papers.<sup>4),6)-8)</sup> For the effect of  $SiO_2$ , the superplasticity behavior and microstructure development in  $SiO_2$ -doped Y-TZP has been investigated previously.<sup>15)-18)</sup> However, the effect of  $SiO_2$  addition on the initial sintering stage of Y-TZP powder has not been reported in the previous papers. Furthermore, although  $Al_2O_3$  and  $SiO_2$  are well used as additives which enhance the sintering rate in Y-TZP powder, the difference in their effects is not quantitatively discussed.

In the present study,  $Al_2O_3$  and  $SiO_2$  as additives were noted, and the initial sintering behavior of Y-TZP powders with and without  $Al_2O_3$  or  $SiO_2$  was analyzed by the CRH method. The difference in the enhanced sintering effect of these additives was quantitatively discussed based on the present analytical results.

## 2 . Experimental procedure

### [ 1 ] Specimen preparation

3 mol% (5.2 mass%) Y-TZP powder with a specific surface area of  $15 \text{ m}^2/\text{g}$  (TZ-3Y grade, Tosoh, Tokyo, Japan), colloidal  $SiO_2$  (Snowtex, Nissan Chemical Industries, Tokyo, Japan) with a particle size in the range of 10-20 nm, and fine  $Al_2O_3$  powder (Alu C grade, Tokyo, Nippon Aerosil, Japan) with a specific surface area of ca.  $100 \text{ m}^2/\text{g}$  were used as starting materials. The Y-TZP powder with 0.25 mass%  $Al_2O_3$  (3YA) or  $SiO_2$  (3YC) was prepared by wet-milling the Y-TZP

powder and colloidal  $SiO_2$  or  $Al_2O_3$  powder with a vibration mill. The atomic ratios of  $Al/(Zr + Y)$  and  $Si/(Zr + Y)$  of these powders are  $6 \times 10^{-3}$  and  $5 \times 10^{-3}$ , respectively, which are roughly equal. For comparison with the sintering behavior of 3YA and 3YC, the Y-TZP powder without  $Al_2O_3$  or  $SiO_2$  (3Y) was also treated by wet-milling under the same process.

These powders were pressed uniaxially into a disk under 70 MPa. The powder compacts were ca.  $25 \text{ mm} \varnothing \times 4 \text{ mm}$  in size, and sintered at  $1100 \text{ }^\circ\text{C}$ - $1500 \text{ }^\circ\text{C}$  for 2 h in air (heating rate  $100 \text{ }^\circ\text{C}/\text{h}$ ).

### [ 2 ] Density and grain-size measurements

The density of sintered bodies was measured using the Archimedes method for the relative densities of  $\geq 80 \%$ , whereas calculated from the weight and the size for the relative densities of  $< 80 \%$ . A scanning electron microscopy (SEM; Model S-4500, Hitachi, Tokyo, Japan) was used to observe the microstructure and estimate the average grain sizes of sintered bodies. SEM specimens were polished with a diamond paste of  $3 \text{ } \mu\text{m}$ , and then thermally etched in air for 1 h at a 50 lower temperature than the sintering temperature of each specimen. The average grain size was measured by the Planimetric method.<sup>19)</sup>

### [ 3 ] CRH measurements

The powders were pressed uniaxially into a cylindrical disk under ca. 100 MPa and afterwards pressed isostatically at 200 MPa by cold isostatic pressing. The specimen was  $6 \text{ mm} \varnothing \times 15 \text{ mm}$ . The shrinkage of the powder compacts obtained with sintering was measured using a dilatometer (Model DL 9700, ULVAC-RIKO, Yokohama, Japan). The shrinkage measurements by the CRH technique were performed in the range from room temperature to  $1500 \text{ }^\circ\text{C}$  at heating rates of  $5 \text{ }^\circ\text{C}/\text{min}$ ,  $10 \text{ }^\circ\text{C}/\text{min}$ ,  $15 \text{ }^\circ\text{C}/\text{min}$ , and  $20 \text{ }^\circ\text{C}/\text{min}$  in air. When the temperature reached  $1500 \text{ }^\circ\text{C}$ , the specimens were cooled at a constant rate. The dilatometer was calibrated using sapphire as a standard specimen. Thermal expansion of each specimen was corrected by the same method in the previous paper.<sup>4)</sup> It was confirmed that the shrinkage proceeded isotropically because for each measured specimen, the shrinkage percentage of length axis was nearly equal to that of diameter axis. Assuming isotropic shrinkage to powder

compact, the density  $\rho(T)$  at a given temperature  $T$  is given by the following equation<sup>2)</sup>:

$$\rho(T) = \left( \frac{L_f}{L(T)} \right)^3 \quad (1)$$

where  $L_f$  and  $L(T)$  are the final length and the length at a  $T$  of the specimen, respectively.  $\rho_f$  indicates the final density measured by the Archimedes method. The  $\rho(T)$  at a  $T$  was calculated using Eq. (1).

[ 4 ] Analysis of initial sintering mechanism

The material transport path and activation energy of diffusion at the initial sintering stage were determined by the same analytical method as the previous paper.<sup>5)</sup> The sintering-rate equation at the initial sintering stage is given by the following equation<sup>9)</sup>:

$$\frac{d}{dt} \left[ \left( \frac{L}{L_0} \right)^{1/n} \right] = \frac{K_s D}{k T a^p} \quad (2)$$

where  $\Delta L (= L_0 - L)$  is the change in length of the specimen,  $L_0$  the initial length of the specimen,  $K$  the numerical constant,  $\gamma_s$  the surface energy,  $V$  the atomic volume,  $D$  the diffusion coefficient,  $t$  the time,  $T$  absolute temperature,  $k$  the Boltzmann's constant,  $a$  the particle radius, and the parameters of  $n$  and  $p$  the order depending on the diffusion mechanism. The values of  $p$  for GBD and VD are 4 and 3, respectively. The value of  $K$  includes the effective grain boundary width in GBD.<sup>10)</sup> Equation (2) is applicable to the fractional shrinkages of  $< 4\%$  that satisfy the initial sintering condition.

The following sintering-rate equation that is applicable to CRH data is derived from Eq. (2).<sup>5)</sup>

$$\ln \left[ T \left( \frac{dT}{dt} \right) \left( \frac{d}{dT} \right) \right] = \frac{Q}{RT} + (n, p) \quad (3)$$

where

$$(n, p) = \ln \left[ f(\rho, n) \right] + \ln \left[ \frac{K_s D_0}{k} \right] + p \ln a \quad (4)$$

Here,  $Q$  is the activation energy,  $R$  the gas constant,  $f(\rho, n)$  the density function that depends on the  $n$ , and  $D_0$  the pre-exponential term defined as  $D = D_0 \exp(-Q/RT)$ . Equation (3) corresponds to the sintering-rate equation derived by Wang and Raj.<sup>2)</sup> Using the slope  $S_1$  of the Arrhenius-type plot of  $\ln [ T(dT/dt)(d\rho/dT) ]$  against  $1/T$  at the same density, the  $Q$  is expressed as

$$Q = -RS_1 \quad (5)$$

On the other hand, the following sintering-rate

equation that is different from the type of Eq. (3) is also derived from Eq. (2).<sup>5)</sup>

$$\frac{d(L/L_0)}{dT} = \left( \frac{K_s D_0 R}{k a^p c Q} \right)^n \left( \frac{nQ}{RT^2} \right) \exp \left( -\frac{nQ}{RT} \right) \quad (6)$$

Here,  $c$  is the heating rate (i.e.,  $c = dT/dt$ ). For  $n=1/3$  and  $n=1/2$ , Eq. (6) corresponds to the sintering equations derived by Young and Cutler.<sup>1)</sup> This rate equation is also applied to CRH data. Using the slope  $S_2$  of the Arrhenius-type plot of  $\ln [ T^{2 \cdot n} d(L/L_0)/dT ]$  against  $1/T$ , the apparent activation energy ( $nQ$ ) is expressed as

$$nQ = -RS_2 \quad (7)$$

Here, the  $n$  is in the range of 0.31-0.50.<sup>10)</sup> In the previous paper, the author has reported that the values of  $nQ$  in 3 mol % (5.3 mass%) Y-TZP powder with a specific surface area of 6.7 m<sup>2</sup>/g determined from Arrhenius-type plots that correspond to  $n=1/3(=0.33)$  and  $n=1/2(=0.50)$  were 219 and 217 kJ/mol, respectively.<sup>4)</sup> This result suggests that the  $nQ$  is nearly the same value in the  $n$  range of 0.31-0.50. Therefore, the  $S_2$  can be estimated as the approximate value from the Arrhenius-type plot of  $\ln [ T^{1.6} d(L/L_0)/dT ]$  against  $1/T$  that corresponds to  $n=0.4$  that is the central value of  $n=0.31-0.50$ .<sup>5)</sup> Combining Eqs.(5) and (7), the following equation is obtained<sup>5)</sup>:

$$n = \frac{nQ}{Q} = \frac{S_2}{S_1} \quad (8)$$

In the present analysis, the  $Q$  and  $n$  were determined in the fractional shrinkage range of  $< 4\%$  that satisfies the initial sintering condition as with previous papers.<sup>4),5)</sup> In previous papers, the author analyzed the isothermal shrinkage behavior of Y-TZP powders with and without a small amount of Al<sub>2</sub>O<sub>3</sub>, and showed that the logarithm shrinkage-logarithm time (log-log) plots in the shrinkage range of  $< 4\%$  measured at various constant temperatures became the linear relationships.<sup>6)-8)</sup> At the initial sintering process with particle growth, it has been reported that the log-log plot show no linear relationship.<sup>11)</sup> Therefore, the influence of particle growth on the  $Q$  and  $nQ$  is negligibly small in the shrinkage range of  $< 4\%$ .

3 . Results

[ 1 ] Densification and grain growth

Figure 1 shows the change of relative density in 3Y

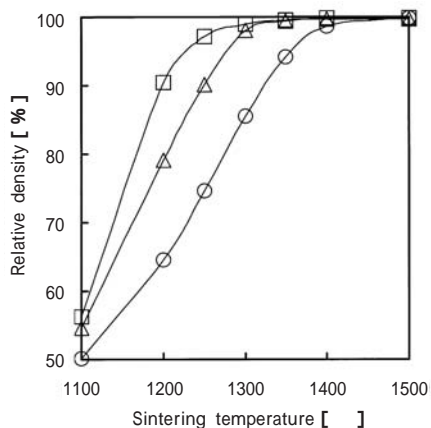


Fig. 1 Changes of relative density and average grain size in 3Y, 3YA, and 3YC with sintering temperature. The duration time at each sintering temperature is 2 h. (○), (△), and (□) indicate 3Y, 3YA, and 3YC, respectively.

(without additive), 3YA (with 0.25 mass%  $\text{Al}_2\text{O}_3$ ), and 3YC (with 0.25 mass%  $\text{SiO}_2$ ) with sintering temperature. The relative density of 3YC was higher than that of 3Y at lower temperatures. The relative density of 3YA was higher than that of 3YC at lower temperatures, and attained 99.5 % at 1350 °C. This result reveals that the densification of Y-TZP powder is accelerated by  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition, and the acceleration effect by  $\text{Al}_2\text{O}_3$  addition is greater than that by  $\text{SiO}_2$  one. Figure 2 shows SEM images of the polished and etched surfaces of 3Y, 3YA, and 3YC sintered at 1500 °C. The average grain sizes of 3Y, 3YA, and 3YC were nearly equal, and in the range of 0.5-0.6  $\mu\text{m}$ . This result suggests that at the sintering-hold time of 2h, the effect of  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition did not appear for the grain-growth process.

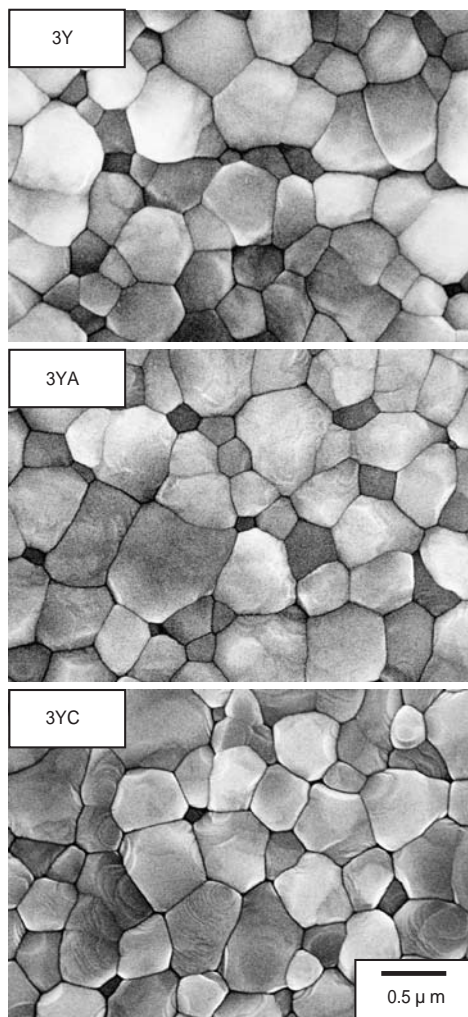


Fig. 2 Scanning electron microscopy images of 3Y, 3YA, and 3YC sintered at 1500 °C. The duration time at each sintering temperature is 2 h.

## [ 2 ] Densification behavior

Figure 3 shows the changes of the shrinkage of 3Y, 3YA, and 3YC with temperature in the course of 10 °C/min heating. As can be seen in Fig. 3(a), the starting

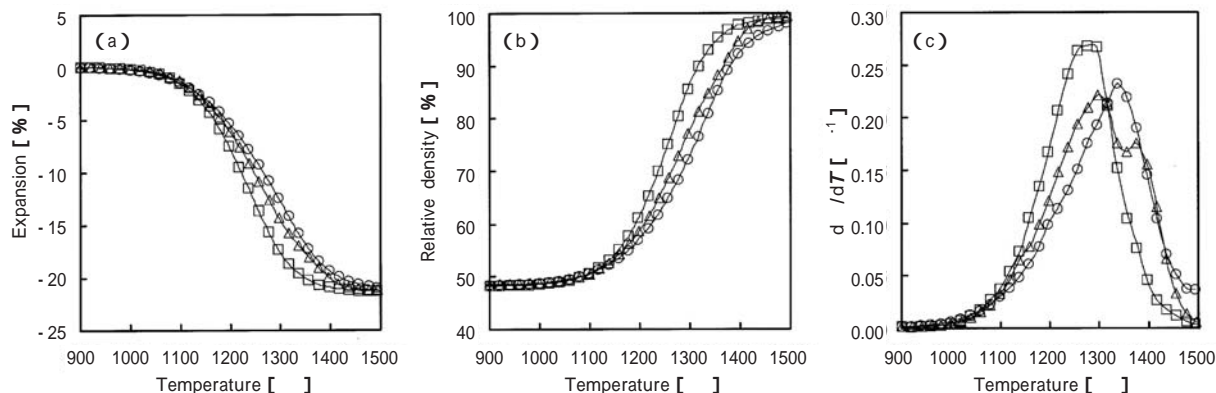


Fig. 3 Temperature dependence of shrinkage, relative density (○), and densification rate ( $d\rho/dT$ ) of 3Y, 3YA, and 3YC in the course of heating (10 °C/min). (○), (△), and (□) indicate 3Y, 3YA, and 3YC, respectively.

temperature of 3Y, 3YA, and 3YC is nearly equal, and the shrinkage increased in the order of 3YA > 3YC > 3Y at temperatures of > ~1100 . Using the shrinkage curves in Fig.3(a), the temperature changes of the relative density ( ) and the densification rate (d /dT) were determined by Eq.(1) (Figs.3(b) and (c)). The was high in the order of 3YA > 3YC > 3Y at temperatures of > ~1100 . The d /dT increased in the order of 3YA > 3YC > 3Y when the temperature exceeded ~1100 , and the temperature of peak maximum of the d /dT curve became low in the order of 3YA < 3YC < 3Y. The heating-rate dependence of d /dT in each specimen was also examined in the range of 5 °-20 /min. For all specimens, the d /dT curves shifted to a higher temperature, as the heating rate increased. As an example, the heating-rate dependence of 3YC is shown in Fig. 4. The present results reveal that Al<sub>2</sub>O<sub>3</sub> or SiO<sub>2</sub> addition enhances the d /dT, and the enhanced effect by Al<sub>2</sub>O<sub>3</sub> addition is greater than that by SiO<sub>2</sub> one.

[ 3 ] CRH shrinkage analysis

In previous papers, the author reported the initial sintering mechanisms in Y-TZP powders with and without 0.25 mass% Al<sub>2</sub>O<sub>3</sub>.<sup>4),(6)-(8)</sup> However, the initial sintering mechanism of 3YC (with 0.25 mass% SiO<sub>2</sub>) has not been reported previously. Therefore, the initial sintering behavior of 3YC is analyzed to clarify the difference between the sintering-acceleration effects by

Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> additions. The Q, nQ, and n of diffusion at the initial sintering stage in 3YC can be estimated by applying Eqs. (3), (6), and (8) to the results in Figs. 3 and 4. Equation (3) is applied in the following way. For each heating rate (dT/dt), both T and d /dT at the same were determined, and their values were plotted as T(dT/dt)(d /dT) against 1/T (Fig. 5). Here, this analysis was performed in the range of ≤ 54 % that corresponds to the fractional shrinkage range of < 4 %. The plot at each showed the linear relation because the values of correlation coefficient were in the range of -0.95 to -1.0. The Q at each was determined from the slope of the straight line (Fig. 6). The average

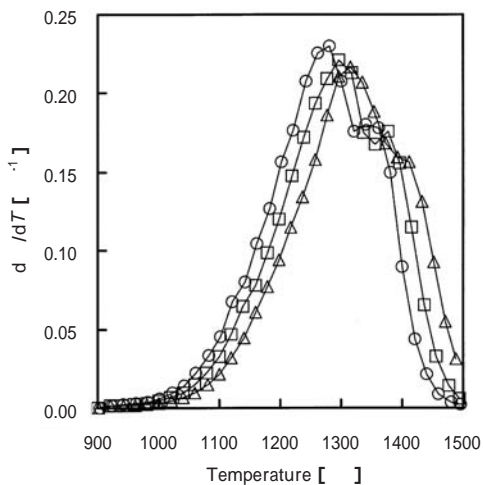


Fig. 4 Temperature dependence of densification rate (d /dT) of 3YC at various heating rates. ( ), ( ), and ( ) represent 5 °, 10 °, and 20 °/min heating rates. The data measured at a 15 °/min heating rate were also obtained in a similar manner.

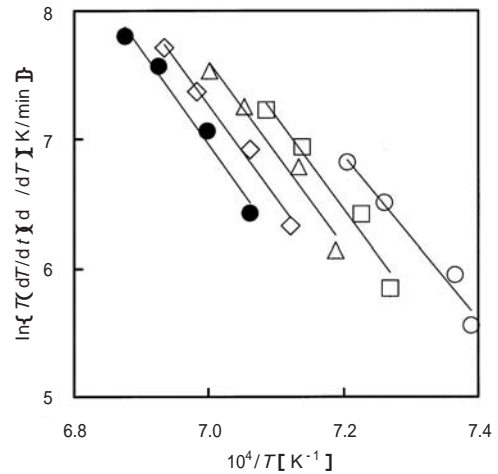


Fig. 5 Arrhenius-type plots of 3YC for the estimate of activation energies of sintering. ( ), ( ), ( ), ( ), and ( ) represent 50%, 51%, 52%, 53%, and 54% relative densities, respectively. The data of 50.5%, 51.5%, 52.5%, and 53.5% relative densities were also obtained in a similar manner.

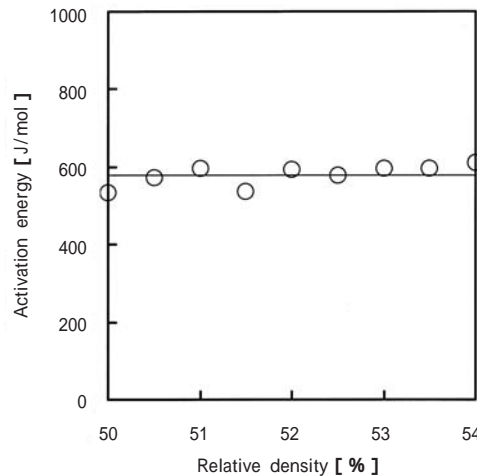


Fig. 6 Activation energies for diffusion of 3YC in the relative density of 50-54%.



value of  $Q$  in this range was 579 kJ/mol (standard deviation ( $\sigma$ )=28 kJ/mol). On the other hand, Eq. (6) is applied in the following way. In the fractional shrinkage range of < 4 %, the  $nQ$  was determined from the slope of the straight line in the plot of  $\ln[T^{1.6}d(L/L_0)/dT]$  against  $1/T$  using the shrinkage curve of each  $dT/dt$ . As an example, the plot at a  $dT/dt$  of 10 /min is shown in Fig.5. It is seen that the plot shows the linear relation. The linear relations were obtained at all plots of 5-20 /min heating rates. Determining the average value of  $nQ$ , 280 kJ/mol ( $\sigma$  =6 kJ/mol) was obtained. Using the average values of both  $Q$  and  $nQ$ , the  $n$  was determined by Eq. (8), which was  $n=0.5(=1/2)$ . According to two-sphere shrinkage models, the  $n$  ranges of GBD and VD are 0.31-0.33 and 0.40-0.50, respectively.<sup>10)</sup> Therefore, the diffusion mechanism of 3YC was assigned to VD. This diffusion mechanism (i.e.,  $n=1/2$ ) agreed with that of  $Al_2O_3$  addition in previous papers.<sup>4)-8)</sup>

To clarify the difference between  $Al_2O_3$  and  $SiO_2$  effects on the sintering rate, the  $Q$  and frequency factor (that is defined by the following  $\alpha_0$ ) of each specimen are estimated using Eq. (6). Putting as  $\alpha_0 = (K_s D_0)/(ka^n)$ , Eq. (6) is expressed by

$$\frac{d(L/L_0)}{dT} = \alpha_0 \cdot \left(\frac{R}{cQ}\right)^n \cdot \left(\frac{nQ}{RT^2 \cdot n}\right) \cdot \exp\left(-\frac{nQ}{RT}\right) \quad (9)$$

In previous papers, the author reported that the orders depending on the diffusion mechanism of Y-TZP powder with and without 0.25 mass%  $Al_2O_3$  were  $n=1/2$  and  $n=1/3$ , respectively,<sup>4),6-8)</sup> which can be applied as the orders for 3Y and 3YA. In the above result, the

order of 3YC is  $n=1/2$ . Using each value of  $n$ , Eq. (9), and the shrinkage data (of < 4 %) of 5-20 /min heating rates, the average values of  $Q$  were determined from the slopes of the straight lines in the plots of  $\ln[T^{5/3}d(L/L_0)/dT]$  against  $1/T$  for 3Y ( $n=1/3$ ) and  $\ln[T^{3/2}d(L/L_0)/dT]$  against  $1/T$  for 3YA and 3YC ( $n=1/2$ ). Each  $\alpha_0$  was determined from the intercept of the plot using the determined  $Q$ . As an example, each plot at a 10 /min heating rate is shown in Fig. 8. Here, although the  $Q$  of 3YC has been already determined from the result in Fig. 6, it was re-estimated using Eq. (9) to strictly comparable with  $Q$ s of 3Y and 3YA. The obtained results are shown in Table 1. The  $Q$  increased in the order of 3Y > 3YA > 3YC. Similarly, the  $\alpha_0$  also increased in the order of 3Y > 3YA > 3YC. In previous papers, the author determined

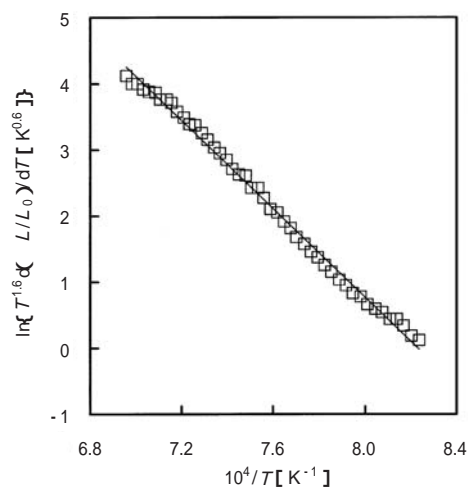


Fig. 7 Plot of  $\ln[T^{1.6}d(L/L_0)/dT]$  against  $1/T$  of 3YC in the course of heating (10 /min).

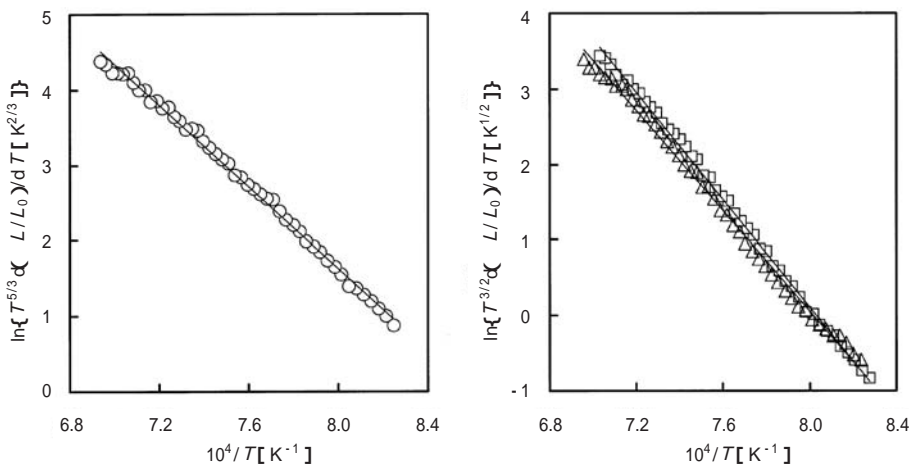


Fig. 8 Plots of  $\ln[T^{5/3}d(L/L_0)/dT]$  against  $1/T$  in 3Y and  $\ln[T^{3/2}d(L/L_0)/dT]$  against  $1/T$  in 3YC in the course of heating (10 /min). (○), (□), and (◇) indicate 3Y, 3YA, and 3YC, respectively.

Table Apparent activation energies, apparent frequency factors, activation energies, and frequency factors for diffusion at initial sintering stage

Specimen	Activation energy*		Frequency factor*		Apparent activation energy		Apparent frequency factor	
	Q (kJ/mol)	Standard deviation	ln[ $\nu_0$ (s <sup>-1</sup> )]	Standard deviation	nQ (kJ/mol)	Standard deviation	ln[ $\nu_0^n$ (s <sup>-n</sup> )]	Standard deviation
3Y	681	17	51.4	1.5	227	6	17.1	0.5
3YA	589	7	46.1	0.6	295	4	23.0	0.3
3YC	558	13	43.0	1.1	279	6	21.5	0.5

\* The values of Q and ln  $\nu_0$  were calculated from the values of nQ and ln  $\nu_0^n$  using n=1/3 for 3Y and n=1/2 for 3YA and 3YC.

the values of Q for sintering of 3 mol% (5.1-5.3 mass%) Y-TZP powders by the CRH and isothermal shrinkage analyses to be 535-595 with 0.25 mass% Al<sub>2</sub>O<sub>3</sub> and 647-694 kJ/mol without Al<sub>2</sub>O<sub>3</sub>.<sup>4),6-8)</sup> Furthermore, the author also reported that the values of ln[ $\nu_0$  (s<sup>-1</sup>)] of 3Y and 3YA determined by the isothermal shrinkage analysis were 48.2 and 45.7, respectively.<sup>6),8)</sup> Compared with these values, the values of Q and  $\nu_0$  in 3Y, 3YA, and 3YC determined by the present analytical method are considered to be reasonable. It is, therefore, clarified that the difference in the sintering rate by Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> additions occurs by differences in both Q and  $\nu_0$  at the initial sintering stage.

#### 4 . Discussion

##### [ 1 ] Effects of additives on the sintering rates

Based on the kinetic analysis, the effects of Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> additions on the initial sintering stage are discussed as follows. The integral type of Eq. (6) is given by the following equation.<sup>5)</sup>

$$\left(\frac{L}{L_0}\right) = \left(\frac{K_s D_0 R}{ka^p c Q}\right)^n T^n \exp\left(-\frac{nQ}{RT}\right) = \nu_0^n \left(\frac{RT}{cQ}\right)^n \exp\left(-\frac{nQ}{RT}\right) \quad (10)$$

Under the same diffusion mechanism (namely, a constant n), the decrease in  $\nu_0$  under a constant Q and T or the increase in Q under a constant  $\nu_0$  and T leads to the decrease in the L/L<sub>0</sub>, which corresponds to the decrease in the sintering rate. As shown in Fig. 3, the shrinkage,  $\nu_0$ , and dL/dT of 3YA were greater than that of 3YC when the temperature exceeded ~1100 . As can be seen the result in Table , both Q and  $\nu_0$  of 3YA are greater than those of 3YC. It is, therefore, concluded that the sintering rate of 3YA increases more than that of 3YC at temperatures of > ~1100 because the increase in  $\nu_0$  rather than nQ is predominant.

According to Eq. (10), the magnitude of n should be considered when the diffusion mechanism changes. The nQ and apparent frequency factor ( $\nu_0^n$ ) were determined using the above Q and  $\nu_0$ , respectively (Table ). Both nQ and  $\nu_0^n$  increase in the order of 3YA > 3YC > 3Y. As can be seen from the result in Fig. 3, when the temperature exceeded ~1100 , the sintering rate increases in the order of 3YA > 3YC > 3Y. It is, therefore, concluded that the sintering rate increases in the order of 3YA > 3YC > 3Y at temperatures of > ~1100 because the increase in  $\nu_0^n$  rather than nQ is predominant. Thus, the sintering rate is controlled by not only the Q and  $\nu_0$  but also the n.

##### [ 2 ] Mechanism of GBD VD change by additive

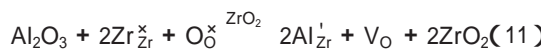
In the previous paper, the author reported that the mechanism of GBD VD change by Al<sub>2</sub>O<sub>3</sub> addition is reasonably explained as follows.<sup>6)</sup> Added Al<sub>2</sub>O<sub>3</sub> particles begin to dissolve in Y-TZP particles with neck formation and growth, and a part of the dissolved Al<sup>3+</sup> ions segregates at grain boundaries formed between Y-TZP particles. When Al<sup>3+</sup> ions segregate at grain boundaries, the grain-boundary energy ( $\gamma_{GB}$ ) decreases, which is led to the change of diffusion mechanism from GBD to VD.

The similar discussion is available for the GBD VD change by SiO<sub>2</sub> addition. Ikuhara *et al.*<sup>15)</sup> have reported that the dynamic behavior with heating of 2.5 mol% Y-TZP particles (Tosoh) to which SiO<sub>2</sub> particles with the diameter of 10 nm (Nissan Chemical Industries) was added was observed using *in-situ* observation technique by a transmission electron microscopy, and most of the SiO<sub>2</sub> particles in the mixed state were adsorbed into the ZrO<sub>2</sub> grains at ~1300 . Furthermore, they showed that no amorphous layer exists along the grain-boundary faces in SiO<sub>2</sub>-doped Y-TZP, but Y<sup>3+</sup> and Si<sup>4+</sup> ions segregate at grain boundaries over widths

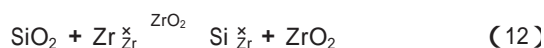
of 4-6 and 5-8 nm, respectively.<sup>16)</sup> Taking into account their observations,<sup>15),16)</sup> it is presumed that added SiO<sub>2</sub> particles begin to dissolve in Y-TZP particles with neck formation and growth, dissolved Si<sup>4+</sup> ions diffuse to Y-TZP particle interior within the range of solubility, and a part of the dissolved Si<sup>4+</sup> ions segregate at grain boundaries formed between Y-TZP particles. In the result in Fig. 1, a part of added SiO<sub>2</sub> has already dissolved in ZrO<sub>2</sub> particles at the temperature of ~ 1100 °C, which is consistent with their result.<sup>15)</sup> Furthermore, it has been reported that when Si<sup>4+</sup> ions segregate at grain boundaries in 2.5 mol% Y-TZP, the  $\rho_{GB}/\rho_s$  relatively decreases.<sup>20)</sup> It is, therefore, concluded that the diffusion mechanism changes from GBD to VD because the  $\rho_{GB}$  decreases by the grain-boundary segregation of Si<sup>4+</sup> ions.

### [ 3 ] Effects of additives on the activation energy and frequency factor

Sakka *et al.* have reported that the cation diffusion in the Y<sub>2</sub>O<sub>3</sub>- and CeO<sub>2</sub>·(Zr<sub>1-x</sub>Hf<sub>x</sub>)O<sub>2</sub> systems is much smaller than the oxygen diffusion.<sup>21),22)</sup> Therefore, the sintering rate in Y-TZP powders with and without a small amount of additive is controlled by the cation diffusion. In the previous paper, the author reported that the differences in both  $Q$  and  $D_0$  between 3Y and 3YA (i.e.,  $Q(3Y) > Q(3YA)$  and  $D_0(3Y) > D_0(3YA)$ ) can be explained by the grain-boundary segregation of Y<sup>3+</sup> ions and the difference in cation-vacancy ( $V_{Zr}^{''''}$ ) concentrations between grain-boundary vicinity and particle interior.<sup>6)</sup> The difference in  $Q$  of VD between 3YA and 3YC (i.e.,  $Q(3YA) > Q(3YC)$ ) is discussed as follows. When Al<sub>2</sub>O<sub>3</sub> dissolves to Y-TZP, the oxygen vacancy ( $V_O$ ) is produced by the doping reaction in the following equation.



On the other hand, when SiO<sub>2</sub> dissolves to Y-TZP, the  $V_O$  is not produced as shown in the following doping-reaction equation.



The cation diffusion proceeds primarily through cation vacancies ( $V_{Zr}^{''''}$ ) of the schottky-defect type. The formation of schottky defect is expressed by the following equilibrium reaction.

$$null = V_{Zr}^{''''} + 2V_O \quad (13)$$

This equilibrium reaction means that when the  $V_O$  concentration increases, the  $V_{Zr}^{''''}$  concentration decreases according to the law of mass action. Furthermore, when the  $V_O$  concentration increases, because  $V_O$  associates with  $V_{Zr}^{''''}$  owing to coulombic attractive force, this associated  $V_{Zr}^{''''}$  does not work for the cation diffusion. The decrease in  $V_{Zr}^{''''}$  concentration and the association between  $V_{Zr}^{''''}$  and  $V_O$  retard the cation diffusion. When Al<sub>2</sub>O<sub>3</sub> dissolves to Y-TZP, the cation diffusion is depressed because the  $V_O$  produces, and the depressing effect appears as the increase in the  $Q$  of VD. The dissolution of SiO<sub>2</sub> to Y-TZP hardly influences the cation diffusion because the  $V_O$  does not produce. Therefore, the  $Q$  of VD in Al<sub>2</sub>O<sub>3</sub> addition is larger than that in SiO<sub>2</sub> one. The present results reveal that the  $Q$  of VD in 3YA is higher than that in 3YC (Table 1), which is consistent with the conclusion in the above discussion. Therefore, the  $Q$  difference of VD between 3YA and 3YC can be qualitatively interpreted by the difference in the amount of  $V_O$  that is produced by the segregated dissolution of Al<sub>2</sub>O<sub>3</sub> or SiO<sub>2</sub> at Y-TZP grain boundaries.

The difference in  $D_0$  of VD between 3YA and 3YC (i.e.,  $D_0(3YA) > D_0(3YC)$ ) is discussed as follows. According to the random walk theory, the  $D_0$  in the extrinsic region is given by the following equation.<sup>23)</sup>

$$D_0 = \frac{1}{6} B^2 N_V \exp\left(-\frac{S}{R}\right) \quad (14)$$

Here,  $B$  is the constant that depends on the crystal structure,  $\nu$  the frequency of atom,  $\lambda$  the space between crystal planes,  $N_V$  the vacancy concentration, and  $S$  the activation entropy. When the diffusion mechanism changes from GBD to VD by dissolution of Al<sub>2</sub>O<sub>3</sub>, the  $V_{Zr}^{''''}$  concentration decreases (i.e., the decrease in  $N_V$ ) because the  $V_O$  is formed. On the other hand, the formation of  $V_O$  causes the increase in  $\rho_s$ .<sup>6)</sup> In the case of SiO<sub>2</sub> addition, the  $V_{Zr}^{''''}$  concentration does not change and the  $\rho_s$  change is small in comparison with Al<sub>2</sub>O<sub>3</sub> addition because the  $V_O$  is not produced by the doping reaction of SiO<sub>2</sub>. Substituting Eq. (14) into  $D_0 = (K_s D_0)/(ka^p)$  and then taking  $D_0(3YA)/D_0(3YC)$ , one obtains

$$\frac{D_0(3YA)}{D_0(3YC)} \cong \left(\frac{\rho_s(3YA)}{\rho_s(3YC)}\right) \left(\frac{N_V(3YA)}{N_V(3YC)}\right) \quad (15)$$



Here, it is presumed that  $K$ ,  $B$ ,  $\gamma$ , and  $S$  do not vary by the difference in  $\text{Al}_2\text{O}_3$  and  $\text{SiO}_2$  additions. The  $n_0$  of VD in 3YA is higher than that of VD in 3YC (i.e.,  $n_0(3YA)/n_0(3YC) > 1$ ). The right side in Eq. (15) is the relations of  $n_s(3YA)/n_s(3YC) > 1$  and  $N_V(3YA)/N_V(3YC) < 1$ . It is, therefore, concluded that the  $n_0$  in 3YA becomes higher than that in 3YC because the increase in  $n_s$  rather than the decrease in  $N_V$  by  $\text{Al}_2\text{O}_3$  addition is predominant.

As discussed in Section IV. (1), the increase of sintering rate by  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition largely depends on the increase of  $n_0$ , i.e., the increase in  $n$  with GBD VD change, though the  $nQ$  also increases by  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition. Therefore, the kinetic process of VD is faster than that of dominant GBD by the segregated dissolution of  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  at Y-TZP grain boundaries. Furthermore, the increase in  $n_0$  depends primarily on the increase in  $n_s$ , which corresponds to the increase in  $V_O$ . It is, therefore, explained that the sintering rate of 3YA is greater than that of 3YC because of the increase in  $V_O$  produced by dissolution of  $\text{Al}_2\text{O}_3$ .

## 5 . Conclusions

In the present study, the effects of  $\text{Al}_2\text{O}_3$  and  $\text{SiO}_2$  addition on initial sintering of Y-TZP powder manufactured by the hydrolysis process were investigated. The following conclusions were obtained:

- (1) The sintering rate of Y-TZP powder remarkably increased by a small amount of  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition, and the enhanced sintering effect by  $\text{Al}_2\text{O}_3$  addition was greater than that by  $\text{SiO}_2$  addition.  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition affected little the grain-growth process at the hold-time condition in the present sintering profiles.
- (2) The  $nQ$  and  $n_0$  at the initial sintering stage were estimated by applying the sintering-rate equation to the CRH data. The diffusion mechanism changed from GBD to VD by  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  addition. Both  $nQ$  and  $n_0$  increased in the order of  $3YA > 3YC > 3Y$ . It is, therefore, concluded that the sintering rate increases in the order of  $3YA > 3YC > 3Y$  because the increase in  $n_0$  rather than  $nQ$  is predominant. This enhanced sintering mechanism is reasonably interpreted by the segregated dissolution of  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$  at Y-TZP grain boundaries.
- (3) The present analytical method derived based on the

sintering kinetics at CRH is very useful for determination of the diffusion mechanism at the initial sintering stage of ceramic powders.

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